

# The Dynamics of Shallow Fluid Flows: Modeling and Numerical Analysis

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## Models for Shallow Fluid Flows

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- incompressible Navier-Stokes equations
  - 2D, 3D
  - free surface
  - conservative
  - hyperbolic/parabolic/elliptic
- shallow water models
  - 1D, 2D
  - continuity equation
  - non-conservative
  - hyperbolic
- standard model in hydraulic engineering, geophysics

## Overview

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1. Inviscid Shallow Water Equations
2. High-Order Well-Balancing for Moving Equilibria
3. Multi-Layer Systems
4. Conservative and Non-Conservative Aspects
5. Extended Shallow Water Models



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# **Chapter 1**

## Inviscid Shallow Water Equations

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$b(x), \eta(x, t)$	bottom, surface
$\Omega := \{ (x, z, t) \mid b(x) < z < \eta(x, t) \}$	domain
$\rho(x, z, t)$	density
$(u, w)(x, z, t)$	velocities

**conservation of mass** (continuity equation)

$$\partial_t \rho + \partial_x(\rho u) + \partial_z(\rho w) = 0$$

**conservation of momentum** (Newton's law)

$$\partial_t(\rho u) + \partial_x(\rho u^2) + \partial_z(\rho uw) = -\color{blue}{\partial_x p} \quad + \color{red}{\partial_x \sigma_{xx}} + \color{red}{\partial_z \sigma_{xz}}$$

$$\partial_t(\rho w) + \partial_x(\rho uw) + \partial_z(\rho w^2) = -(\color{blue}{\partial_z p} + \rho g) + \color{red}{\partial_x \sigma_{zx}} + \color{red}{\partial_z \sigma_{zz}}$$

**incompressibility**

$$\partial_x u + \partial_z w = 0$$

## Cauchy Stress Tensor:

$$\begin{pmatrix} \sigma_{xx} & \sigma_{xz} \\ \sigma_{zx} & \sigma_{zz} \end{pmatrix} = (\lambda + \mu) (\partial_x u + \partial_z w) \text{Id} + \mu \begin{pmatrix} 2\partial_x u & \partial_z u + \partial_x w \\ \partial_z u + \partial_x w & 2\partial_z w \end{pmatrix}$$

$$= \mu \begin{pmatrix} 2\partial_x u & \partial_z u + \partial_x w \\ \partial_z u + \partial_x w & 2\partial_z w \end{pmatrix}$$

$\lambda$  first Lamé coefficient

$\mu$  second Lamé coefficient (**dynamic viscosity**)

## Tangential flow at top and bottom:

$$w = \frac{D}{Dt} \eta = \partial_t \eta + u \partial_x \eta \quad \text{for } z = \eta(x, t)$$

$$w = \frac{D}{Dt} b = \partial_t b + u \partial_x b \quad \text{for } z = b(x)$$

**Newton's law** rewritten:

Advection, **pressure gradient and gravity**, **viscous forces**

$$\partial_t(\rho u) + \partial_x(\rho u^2) + \partial_z(\rho uw) = -\partial_x p + \mu \Delta u$$

$$\partial_t(\rho w) + \partial_x(\rho uw) + \partial_z(\rho w^2) = -(\partial_z p + \rho g) + \mu \Delta w$$

**space, time, density, velocities:**

$$(x_{ref}, z_{ref}, t_{ref}), \quad (\rho_{ref}, u_{ref}, w_{ref})$$

**pressure:**

$$p_{ref} = g\rho_{ref}z_{ref}.$$

## Dimensionless Numbers

$$\varepsilon = x_{ref}/z_{ref}$$

shallow water

$$F = u_{ref}/\sqrt{gz_{ref}}$$

Froude number

$$\nu = \mu/(\rho_{ref}u_{ref}x_{ref})$$

dimensionless viscosity

## Tidal flow, Strait of Gibraltar:

$$\begin{aligned}
 z_{ref} &= 2.0 \cdot 10^2 \quad m \\
 t_{ref} &= 2.0 \cdot 10^4 \quad s \quad (6 \text{ hours}) \\
 u_{ref} &= 1.0 \quad m/s \\
 x_{ref} = t_{ref} u_{ref} &= 2.0 \cdot 10^4 \quad m \\
 g_{ref} &= 9.8 \quad m/s^2 \quad (\text{reference gravity}) \\
 \rho_{ref} &= 1.0 \cdot 10^3 \quad kg/m^3 \\
 \mu &= 1.5 \quad kg/s \quad (\text{water } 5^\circ \text{ Celsius})
 \end{aligned}$$

$\Rightarrow$

$$\begin{aligned}
 \varepsilon^2 &= 1.0 \cdot 10^{-4} \\
 F^2 &= 5.1 \cdot 10^{-4} \\
 \nu &= 7.5 \cdot 10^{-8}
 \end{aligned}$$

$\Rightarrow$

$$\nu \ll F^2 \approx \varepsilon^2 \ll 1$$

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## Dimensionless variables:

$$(\hat{x}, \hat{z}, \hat{t}) = (x/x_{ref}, z/z_{ref}, t/t_{ref})$$

$$(\hat{\rho}, \hat{u}, \hat{w}) = (\rho/\rho_{ref}, u/u_{ref}, w/w_{ref})$$

$$\hat{p} = p/p_{ref}$$

- rewrite Navier-Stokes equations in dimensionless variables
- drop the “hat”:  $(\hat{x}, \hat{z}, \hat{t} \dots \hat{p}) \rightsquigarrow (x, z, t \dots p)$

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## dimensionless conservation of momentum

$$\partial_t(\rho u) + \partial_x(\rho u^2) + \partial_z(\rho uw) = -\frac{1}{F^2} \partial_x p + \nu \left( \partial_{xx} u + \frac{1}{\varepsilon^2} \partial_{zz} u \right)$$

$$\partial_t(\rho w) + \partial_x(\rho uw) + \partial_z(\rho w^2) = -\frac{1}{\varepsilon^2 F^2} (\partial_z p + \rho) + \nu \left( \partial_{xx} w + \frac{1}{\varepsilon^2} \partial_{zz} w \right)$$

replace conservation of vertical momentum

$$\begin{aligned}\partial_z p + \rho = & -\varepsilon^2 F^2 (\partial_t(\rho w) + \partial_x(\rho uw) + \partial_z(\rho w^2)) \\ & + \nu F^2 (\varepsilon^2 \partial_{xx} w + \partial_{zz} w)\end{aligned}$$

by the hydrostatic assumption

$$\partial_z p + \rho = 0$$

i.e.

$$p(z) = p_a + \int_z^\eta \rho(\zeta) d\zeta$$

Corollary:

$$\partial_x p(z) = \rho(\eta) \partial_x \eta + \int_z^\eta \partial_x \rho d\zeta$$

**mass**

$$\partial_t \rho + \partial_x(\rho u) + \partial_z(\rho w) = 0$$

**momentum**

$$\begin{aligned} & \partial_t(\rho u) + \partial_x(\rho u^2) + \partial_z(\rho uw) \\ &= -\frac{1}{F^2} \left( \rho(\eta) \partial_x \eta + \int_z^\eta \partial_x \rho d\zeta \right) \\ &+ \nu \left( \partial_{xx} u + \frac{1}{\varepsilon^2} \partial_{zz} u \right) \end{aligned}$$

**incompressibility**

$$\partial_x u + \partial_z w = 0$$

**Definition:**  $f$  integrable in  $\Omega$ ,  $h := \eta - b$

$$\bar{f}(x, t) := \int_{b(x)}^{\eta(x, t)} f(x, z, t) dz \quad \text{depth – integral}$$

$$\langle f \rangle(x, t) := \frac{1}{h(x, t)} \bar{f}(x, t) \quad \text{depth – average}$$

**Transport Theorem:** Let  $f(x, z, t)$  be differentiable function. Assume kinematic boundary conditions at  $z = \eta$  and  $z = b$ . Then

$$\int_b^\eta (\partial_t f + \partial_x(uf) + \partial_z(wf)) dz = \partial_t \bar{f} + \partial_x \bar{u}f. \quad (1)$$

**Proof:**

$$\begin{aligned}\partial_t \bar{f} &= (f \partial_t \eta)|_b^\eta + \int_b^\eta \partial_t f \, dz \\ \partial_x \bar{u} \bar{f} &= ((uf) \partial_x \eta)|_b^\eta + \int_b^\eta \partial_x (uf) \, dz\end{aligned}$$

implies

$$\begin{aligned}& \partial_t \bar{f} + \partial_x (\bar{u} \bar{f}) \\ &= \int_b^\eta (\partial_t f + \partial_x (uf)) \, dz + (f(\partial_t \eta + u \partial_x \eta))|_b^\eta \\ &= \int_b^\eta (\partial_t f + \partial_x (uf)) \, dz + (f w)|_b^\eta \\ &= \int_b^\eta (\partial_t f + \partial_x (uf) + \partial_z (wf)) \, dz\end{aligned}$$

q.e.d.

**Corollary:**

$$\partial_t f + \partial_x(u f) + \partial_z(w f) = S$$

then

$$\partial_t \bar{f} + \partial_x \bar{u} \bar{f} = \bar{S}.$$

**Example:**  $f \equiv 1$ , so  $S = \partial_x u + \partial_z w = 0$ ,  $\bar{f} = \bar{1} = h$ .

$\partial_t h + \partial_x \bar{u} = 0$  constant density continuity equation

**Example:**  $f = \rho$ , so  $S = 0$ ,

$\partial_t \bar{\rho} + \partial_x \bar{\rho} \bar{u} = 0$  variable density continuity equation

**Example:**  $f = q := \rho u$  (discharge), so

$$S = -\frac{1}{F^2} \partial_x p + \nu \left( \partial_{xx} u + \frac{1}{\varepsilon^2} \partial_{zz} u \right)$$

and

$$\partial_t \bar{q} + \partial_x \bar{u} \bar{q} = -\frac{1}{F^2} \overline{\partial_x p} + \nu \overline{\left( \partial_{xx} u + \frac{1}{\varepsilon^2} \partial_{zz} u \right)}$$

variable density momentum equation

## Assumptions:

- incompressible Navier-Stokes equations
- kinematic boundary conditions
- hydrostatic pressure

$$\partial_t \bar{\rho} + \partial_x \bar{q} = 0$$

$$\partial_t \bar{q} + \partial_x \bar{u} \bar{q} = - \frac{1}{F^2} \overline{\partial_x p} + \nu \overline{\left( \partial_{xx} u + \frac{1}{\varepsilon^2} \partial_{zz} u \right)}$$

**Goal:** turn this into a system for  $(\bar{\rho}, \bar{q})$ .

Need to express

$$\bar{u} \bar{q}, \overline{\partial_x p}, \overline{\partial_{xx} u}, \overline{\partial_{zz} u}$$

in terms of  $(\bar{\rho}, \bar{q})$

**Assumption:** single layer,  $\rho \equiv 1$

$$\begin{aligned}\overline{\partial_x p} &= \rho(\eta) h \partial_x \eta + \int_b^z \int_z^\eta \partial_x \rho d\zeta dz = \rho h \partial_x \eta \\ &= \partial_x \left( \frac{1}{2} h^2 \right) + h \partial_x b\end{aligned}$$

**Assumption:** constant velocity profile  $u(x, z, t) \equiv u(x, t)$

$$\overline{u q} = \frac{\overline{q}^2}{h} = h u^2$$

**Assumption:** inviscid flow,  $\nu = 0$ .

## Inviscid Shallow Water Equations:

$$\partial_t h + \partial_x(hu) = 0$$

$$\partial_t(hu) + \partial_x \left( hu^2 + \frac{1}{2F^2} h^2 \right) = - \frac{1}{F^2} h \partial_x b$$

- hyperbolic system of balance laws (for  $h > 0$ )
- eigenvalues

$$\lambda_{\pm} = u \pm \frac{\sqrt{h}}{F}$$

- non-strictly hyperbolic for  $h = 0$  (dry front)

### Incompressible Navier-Stokes:

- 3D domain, moving free surface
  - moving grid or level-set method
- elliptic constraint for the pressure
  - infinite propagation speeds
  - implicit solver.

### Inviscid shallow water (SW):

- + 2D, fixed domain
  - + hyperbolic system, finite speed of surface waves
    - explicit finite volume solver
- ⇒ **If SW is applicable, it is amazingly efficient!**



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# **Chapter 2**

## High-Order Well-Balancing for Moving Equilibria

## Balance laws

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Balance Laws:

$$U_t + f(U)_x = s(U, x), \quad U : \mathbb{R}^d \times \mathbb{R}^+ \rightarrow \mathbb{R}^k. \quad (2)$$

Example: 1D shallow water equations

$$U = \begin{pmatrix} h \\ m \end{pmatrix}, \quad f(U) = \begin{pmatrix} m \\ \frac{m^2}{h} + \frac{g}{2}h^2 \end{pmatrix}, \quad s(U, x) = \begin{pmatrix} 0 \\ -ghb_x(x) \end{pmatrix}.$$

## Nearly Stationary Solutions

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Residuum:

$$R(U, x) := -f(U)_x + s(U, x) = U_t \quad (3)$$

Stationary solutions (perfect balance):

$$R \equiv 0$$

Nearly stationary solutions: (near-perfect balance):

$$|R| \ll |f(U)_x| + |s(U, x)|$$

## Factorizable Residuum and Equilibrium Variables

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Class of balance laws with factorizable residuum:

$$\exists \ c = c(U, x) \in \mathbb{R}^{k \times k}, \ V = V(U, x) \in \mathbb{R}^k \text{ s.t.}$$

$$R = c V_x.$$

Shallow water equations:  $V = (m, E)^T$ ,  $u = m/h$ ,

$$E = \frac{u^2}{2} + g(h + b)$$

$$R = -(m_x, u m_x + h E_x)^T.$$

$V$  equilibrium variables

$E$  equilibrium energy

## Examples of Equilibria

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- Conservation Laws:
  - Constant States
  - Stationary Shocks
  - Stationary Contacts
- 1D Shallow Water:
  - lake at rest
  - smooth river flows
  - waterfalls (Noelle/Xing/Shu 2007)
- Similar Systems from Continuum Mechanics
  - (Xing/Shu 2004 ff)
- 2D Shallow Water:
  - geostrophic jets (coriolis force) (Bouchut/LeSommer/Zeitlin 2004, Lukacova/Noelle/Kraft 2007).
- Multi-layer Shallow Water
  - (Castro/Gallardo/Pares 2006)

Semi-discrete FV:

$$\frac{d}{dt} \bar{U}_i(t) = \bar{R}_i \quad \text{on} \quad [x_{i-1/2}, x_{i+1/2}]. \quad (4)$$

**Definition:** The FV scheme (4) is **well-balanced for an equilibrium state  $\bar{V}$**  if

$$\bar{R}_i(t) = 0$$

for all data  $U(t)$  such that

$$V(U(x, t), x) \equiv \bar{V}.$$

## A Class of Well-Balanced Schemes

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Unified treatment of 3 schemes:

- E. Audusse, F. Bouchut, M.-O. Bristeau, R. Klein and B. Perthame, *A fast and stable well-balanced scheme with hydrostatic reconstruction for shallow water flows*, SIAM J. Sci. Comput. 25 (2004), 2050-2065.
- S. Noelle, Y. Xing and C.-W. Shu, *High order well-balanced Finite Volume WENO schemes for shallow water equation with moving water*, J. Comput. Phys. 226 (2007), 29-58.
- M. Castro, A. Pardo, C. Parés, *Well-balanced numerical schemes based on a generalized hydrostatic reconstruction technique*. Math. Mod. Meth. App. Sci. (M3AS) 17 (2007), 2055-2113.

## Decompose Residuum

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Regular and singular parts of measures  $\bar{R}_i(x)$ :

$$\begin{aligned}\bar{R}_i &= \bar{R}_{reg}^i + \bar{R}_{sing}^i \\ &= \bar{R}_{reg}^i + \left( \bar{R}_{sing}^{i-1/2+} + \bar{R}_{sing}^{i+1/2-} \right)\end{aligned}$$

so

$$\frac{d}{dt} \bar{U}_i(t) = \bar{R}_{reg}^i + \bar{R}_{sing}^{i-1/2+} + \bar{R}_{sing}^{i+1/2-}$$

**Theorem 1:** The schemes in [ABBKP], [NXS], [CPP] satisfy

$$\bar{R}_{reg}^i = \bar{R}_{sing}^{i-1/2+} = \bar{R}_{sing}^{i+1/2-} = 0$$

for data corresponding to an appropriate equilibrium state  $\bar{V}$ .

## Proof of Theorem 1: Challenges

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Challenges for well-balancing:

regular part:

- reconstruction
- quadrature

singular part:

- simultaneous discontinuities of  $U$  and  $b$

## Reconstruction I

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Smooth reconstruction in the cell interior

Hydrostatic reconstruction [ABBKP]:

$$(\bar{m}_i, \bar{\eta}_i = \bar{h}_i + \bar{b}_i, \bar{b}_i) \rightarrow (\tilde{m}, \tilde{\eta}, \tilde{b})(x).$$

Compute

$$\tilde{h}(x) := \tilde{\eta}(x) - \tilde{b}(x).$$

This preserves lake at rest.

## Reconstruction II

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Equilibrium reconstruction [NXS]:

Preserve **all** one-dimensional equilibria!

$$(\bar{U}_i, \bar{b}_i) \rightarrow (\tilde{U}, \tilde{b})(x)$$

Choose local reference values  $\bar{V}_i$  by

$$\frac{1}{\Delta x_i} \int_{I_i} U(\bar{V}_i, \tilde{b}(x)) dx = \bar{U}_i. \quad (5)$$

Limit reconstruction according to  $\bar{V}_i$ .

## Reconstruction III

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Remainder interpolation [CPP]:

Compute  $\tilde{b}(x)$ ,  $\bar{V}_i$  as in (5).

Low order accurate equilibrium reconstruction:

$$\tilde{U}_i^*(x) := U(\bar{V}_i, \tilde{b}(x))$$

Higher order correction:

$$Q_i(x) = p(x | (\bar{U}_j - \tilde{U}_j^*), j = i-k, \dots, i+k)$$

Final reconstruction:

$$\tilde{U}_i(x) := \tilde{U}_i^*(x) + Q_i(x).$$

The reconstruction is well-balanced if  $\bar{V}_i = \bar{V} \quad \forall i.$

Quadrature for  $\bar{R}_{reg}^i$ :

Given smooth reconstruction  $\tilde{U}$ ,  $\tilde{b}$

$$\begin{aligned} K(\tilde{R}, I_i) &\approx \int_{x_{i-1/2}}^{x_{i+1/2}} \tilde{R}(x) dx \\ &= \int_{x_{i-1/2}}^{x_{i+1/2}} (-f_2(\tilde{U})_x - g\tilde{h}\tilde{b}_x)(x) dx \\ &= -Df_2(\tilde{U}) - g \int_{x_{i-1/2}}^{x_{i+1/2}} (\tilde{h}\tilde{b}_x)(x) dx. \end{aligned}$$

Need to define a quadrature for the integral of the source term.

Difference calculus:

$$Da := a_R - a_L$$

$$\bar{a} := \frac{1}{2}(a_L + a_R)$$

$$D(ab) = \bar{a}Db + \bar{b}Da$$

$$\overline{(ab)} - \bar{a}\bar{b} = \frac{1}{4}DaDb$$

## Quadrature III

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Lake at rest:  $m \equiv 0, h + b \equiv \bar{\eta}$

For linear  $\tilde{h}, \tilde{b}$

$$\int_{x_{i-1/2}}^{x_{i+1/2}} (\tilde{h}\tilde{b}_x)(x)dx = \frac{\tilde{h}_{i-1/2} + \tilde{h}_{i+1/2}}{2} (\tilde{b}_{i+1/2} - \tilde{b}_{i-1/2}) = \bar{h} D\tilde{b}$$

Therefore the quadrature

$$K(\tilde{R}, I_i) := \frac{1}{\Delta x_i} \left( -Df_2(\tilde{U}) - g\bar{h}D\tilde{b} \right)$$

is second order accurate. It is also well-balanced for lake at rest.

## Quadrature IV

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Moving water equilibria:  $m \equiv 0, E \equiv \bar{E}$

$$\begin{aligned} Df_2(\tilde{U}) &= D(\tilde{m}\tilde{u} + g\tilde{h}^2/2) \\ &= \bar{m}D\tilde{u} + \bar{u}D\tilde{m} + g\bar{h}D\tilde{h} \\ &= \bar{m}D\tilde{u} + \bar{u}D\tilde{m} + \bar{h}D(\tilde{E} - g\tilde{b} - \tilde{u}^2/2) \\ &= \bar{u}D\tilde{m} + \bar{h}D\tilde{E} - g\bar{h}D\tilde{b} + (\bar{m} - \bar{h}\bar{u})D\tilde{u} \end{aligned}$$

From  $\bar{m} - \bar{h}\bar{u} = D\tilde{h}D\tilde{u}/4$ ,

$$Df_2(\tilde{U}) = \bar{u}D\tilde{m} + \bar{h}D\tilde{E} - g\bar{h}D\tilde{b} + \frac{1}{4}D\tilde{h}(D\tilde{u})^2$$

If  $D\tilde{m} = D\tilde{E} = 0$ ,

$$-Df_2(\tilde{U}) - g\bar{h}D\tilde{b} + \frac{1}{4}D\tilde{h}(D\tilde{u})^2 = 0$$

Well-balanced quadrature:

$$K(\tilde{R}, I_i) := \frac{1}{\Delta x_i} \left( -Df_2(\tilde{U}) - g\bar{h}D\tilde{b} + \frac{1}{4}D\tilde{h}(D\tilde{u})^2 \right) \quad (6)$$

## Singular Layers

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**Key Difficulty:** Simultaneous jumps in  $U$  and  $b$

cf. nonconservative product of measures

$$-ghb_x$$

(Dal Maso/LeFloch/Murat: families of paths)

**Unified framework** including [ABBKP], [NXS], [CPP]

Noelle, Xing, Shu (2008). Springer-Volume on Balance Laws, ed. G. Puppo & G. Russo.

## Singular Layers: topography

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Infinitesimal layer

$$[x_{i+1/2} - \varepsilon, x_{i+1/2} + \varepsilon] \quad (7)$$

Continuous piecewise linear topography  $\hat{b}_\varepsilon(x)$

$$\hat{b}_\varepsilon(x) := \begin{cases} \tilde{b}_{i+1/2}^\pm & \text{for } x = x_{i+1/2} \pm \varepsilon \\ \hat{b}_{i+1/2} & \text{for } x = x_{i+1/2} \pm \varepsilon/2 \end{cases}$$

Intermediate value  $\hat{b}_{i+1/2}$

$$\min\{\tilde{b}_{i+1/2}^-, \tilde{b}_{i+1/2}^+\} \leq \hat{b}_{i+1/2} \leq \max\{\tilde{b}_{i+1/2}^-, \tilde{b}_{i+1/2}^+\}$$

## Equilibrium Layers

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Equilibrium layers in  $[-\varepsilon, -\varepsilon/2]$

$$\hat{U}_\varepsilon(x) = U(\tilde{V}_{i+1/2-}, \hat{b}_\varepsilon(x))$$

and  $[\varepsilon/2, \varepsilon]$

$$\hat{U}_\varepsilon(x) = U(\tilde{V}_{i+1/2+}, \hat{b}_\varepsilon(x))$$

By construction

$$\hat{R}_\varepsilon(x) \equiv 0 \tag{8}$$

in the equilibrium layer.

## Convective Layer

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Convective layer in  $[-\varepsilon/2, 0] \cup [0, \varepsilon/2]$ :

constant topography, so

$$\hat{R}_\varepsilon(x) = -\partial_x \hat{f}_\varepsilon(U(x))$$

with piecewise linear flux

$$\hat{f}_\varepsilon(x) := \begin{cases} f(\hat{U}_\varepsilon(x_{i+1/2} \pm \varepsilon/2)) & \text{for } x = x_{i+1/2} \pm \varepsilon/2 \\ \hat{f}_{i+1/2} & \text{for } x = x_{i+1/2} \end{cases}$$

and approximate homogeneous Riemann-Solver

$$\hat{f}_{i+1/2} = f_{\text{Riem}}(\hat{U}_\varepsilon(x_{i+1/2} - \varepsilon/2), \hat{U}_\varepsilon(x_{i+1/2} + \varepsilon/2))$$

## The Singular Residual

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$$\overline{R}_{sing}^{i+1/2-} = \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} \int_{-\varepsilon}^0 \widehat{R}_\varepsilon(x) dx = -\widehat{f}_{i+1/2} + f(\widehat{U}_{i+1/2-}) \quad (9)$$

**Theorem 2:** The approximation (9) of the singular parts of the residuum is *well-balanced*.

Proof: Need to show that

$$\widehat{U}_{i+1/2-} = \widehat{U}_{i+1/2+}. \quad (10)$$

So suppose data are in local equilibrium,  $\tilde{V}_{i+1/2-} = \tilde{V}_{i+1/2+} = \overline{V}$ . Then

$$\widehat{U}_{i+1/2-} = U(\overline{V}, \widehat{b}_{i+1/2}) = \widehat{U}_{i+1/2+}, \quad (11)$$

which is (10).  $\square$

## Well-balancing result

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Summary: Each of the buildingblocks

regular part:

- reconstruction
- quadrature

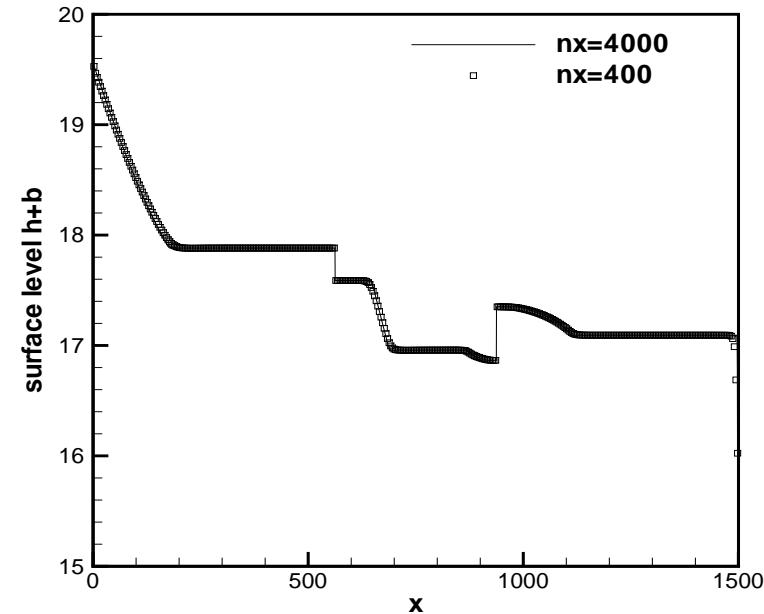
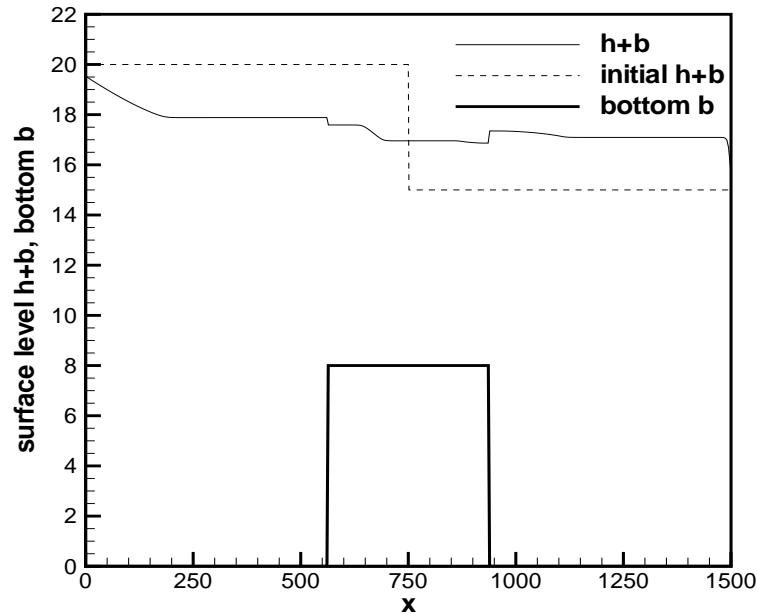
singular part:

- equilibrium layer
- convective layer

is well-balanced for suitable equilibria.

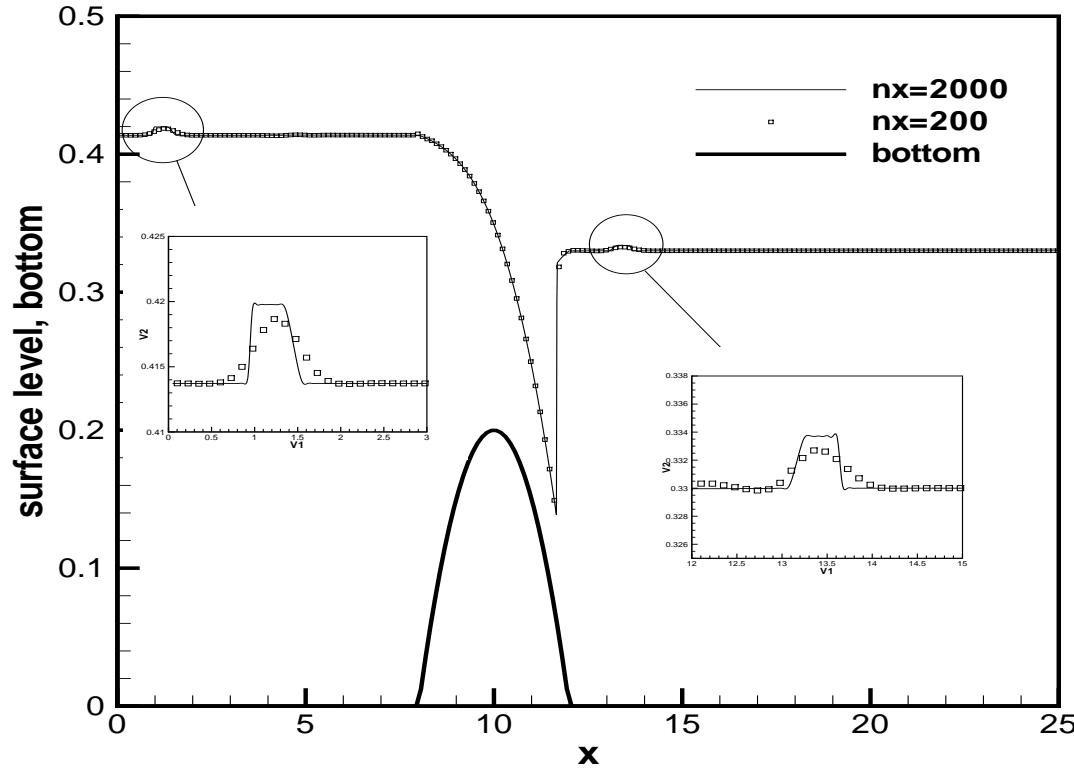
This proves Theorem 1  $\square$

## Dam break over rectangular dam



Surface level  $h + b$ ,  $T=60s$ , 400 points.

## Perturbation of 1D moving water steady state:



Small (1 %) perturbation of transcritical flow with shock

## Remark 1: Weak Solutions

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**Definition:**  $U \in L^\infty(\Omega)$  weak solution iff  $\forall \varphi \in C^1(\Omega)$

$$\begin{aligned} & \iint_{\Omega} (\varphi_t U + \varphi_x f(U)) dx dt - \int_{\partial\Omega} (f(U), U) \cdot n \varphi dS \\ &= \iint_{\Omega_{reg}} \varphi g h b_x dx dt + \int_{\Omega_{sing}} \varphi g \bar{h} D b dt \end{aligned}$$

with average height in equilibrium layer

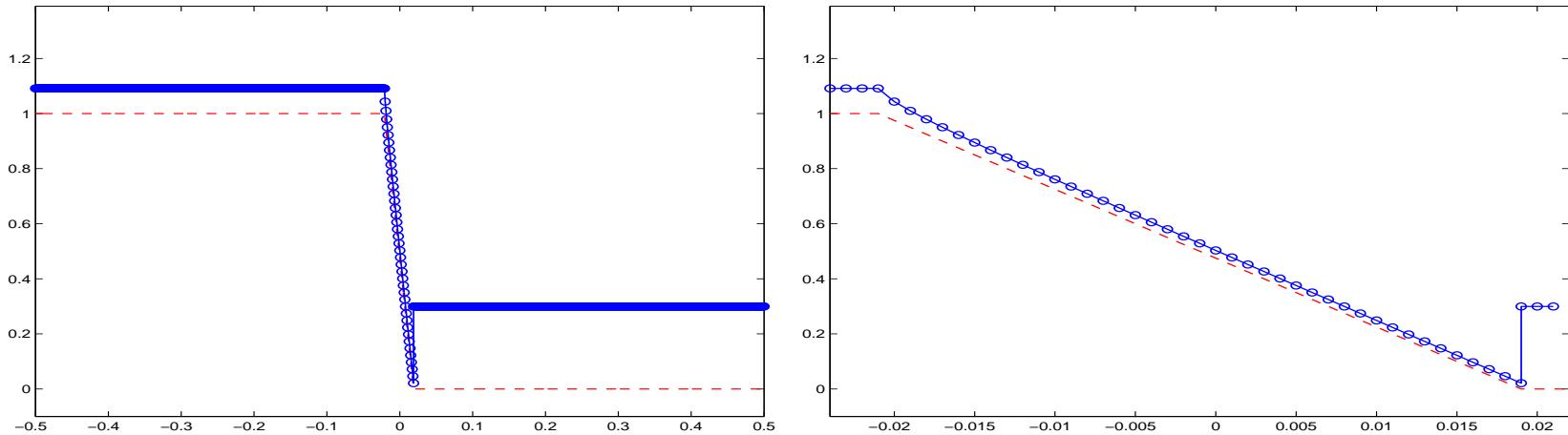
$$\bar{h} := \frac{1}{b_R - b_L} \int_{b_L}^{b_R} h(\bar{V}, b) db$$

**Theorem 3:** (Noelle, Xing, Shu 2007)

Limits of the [NXS] scheme are weak solutions.

## Remark 2: Waterfalls

- Waterfalls [NXS 2007]



Left: surface level  $h + b$  and bottom  $b$ . Right: detail

Intermediate bottom:

$$\hat{b}_{i+1/2} = \min\{\tilde{b}_{i+1/2}^-, \tilde{b}_{i+1/2}^+\}$$



**Goal:**

Demonstrate the advantage of

**moving-water well-balanced schemes**

over

**still-water well-balanced schemes**

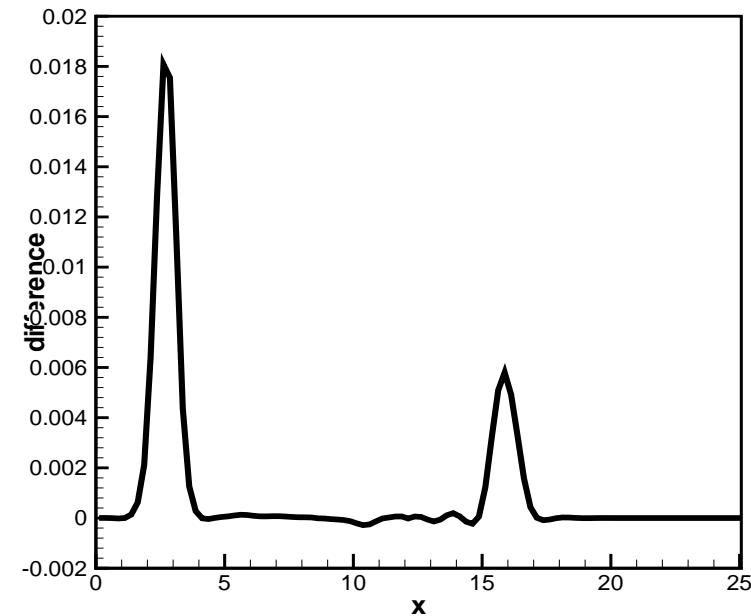
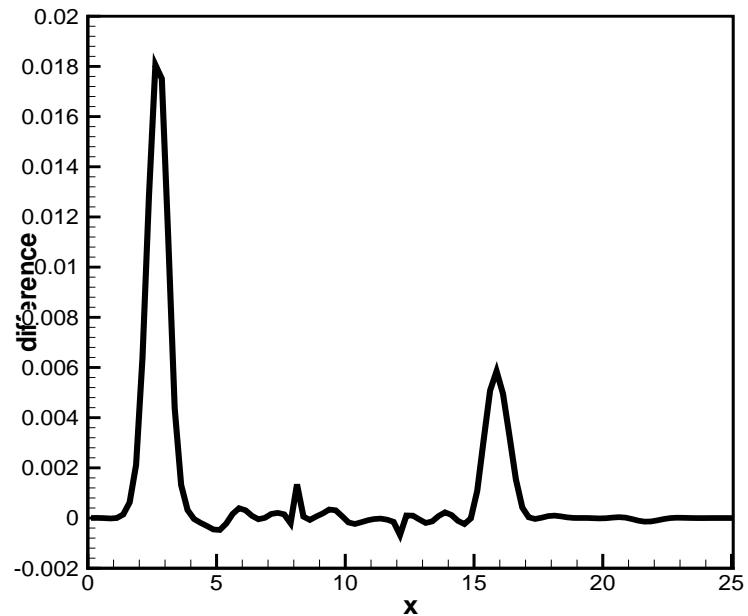
**Setup:**

→ Perturbations of moving-water equilibria of size  $\varepsilon$

**Algorithmic ingredients:**

- Shu's 5<sup>th</sup> order WENO in space
- Shu's 3<sup>rd</sup> order TVD-Runge-Kutta in time
- Xing-Shu still-water w-b (2006)
- Noelle-Xing-Shu moving-water w-b (2007)

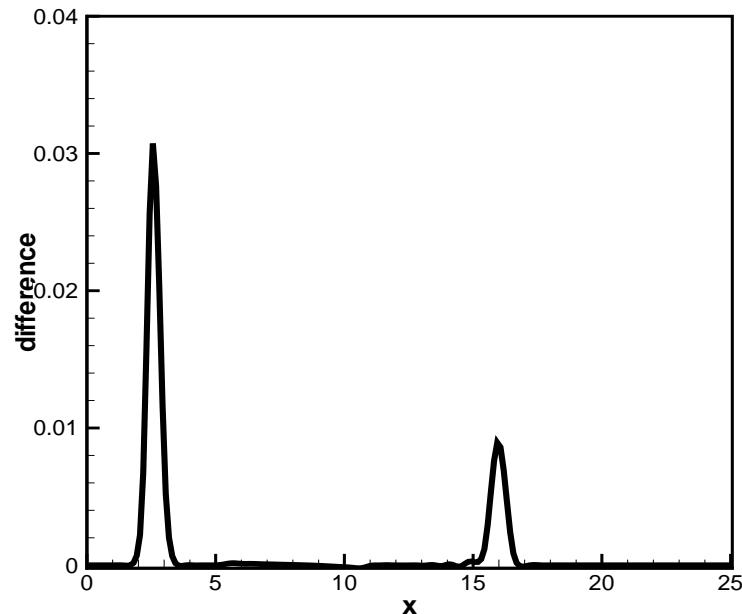
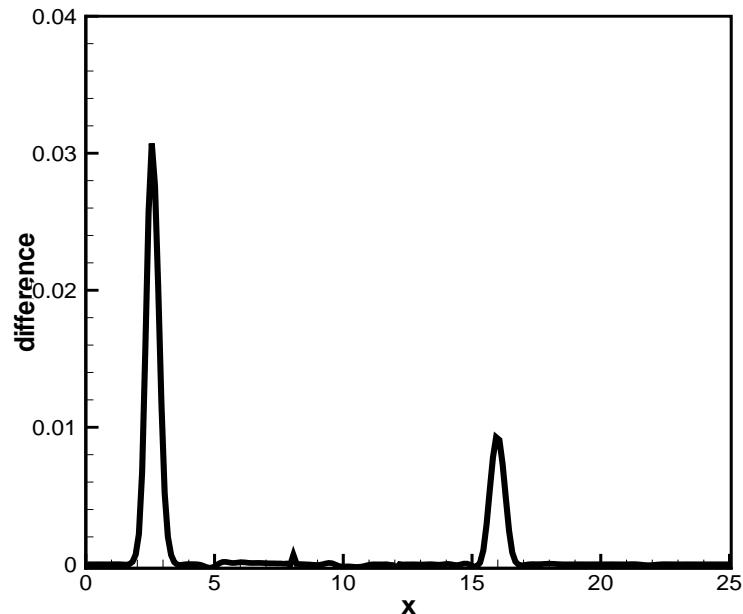
Xing, Shu, Noelle (Proceed. NumHyp2009, submitted)



$$h - h_{equil}, T = 1.5, N = 100, \varepsilon = 0.05.$$

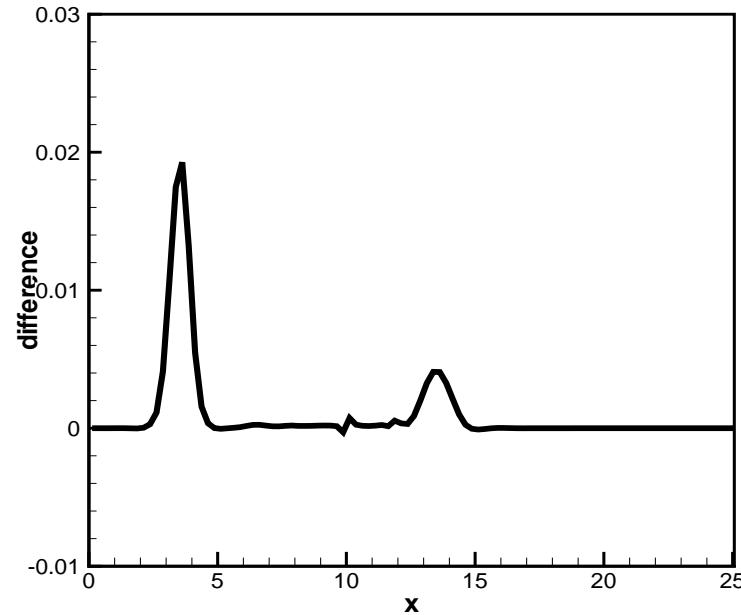
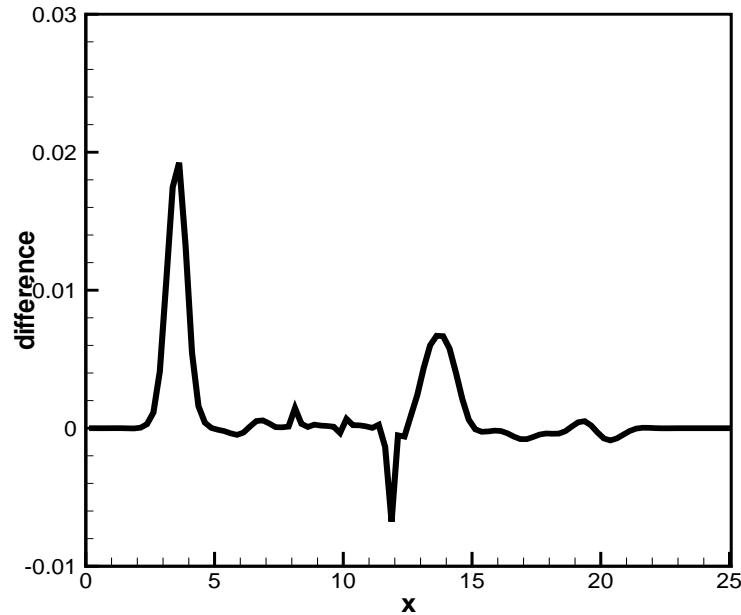
Left: still-water w-b.

Right: moving-water w-b.



$$N = 1000, \varepsilon = 0.05.$$

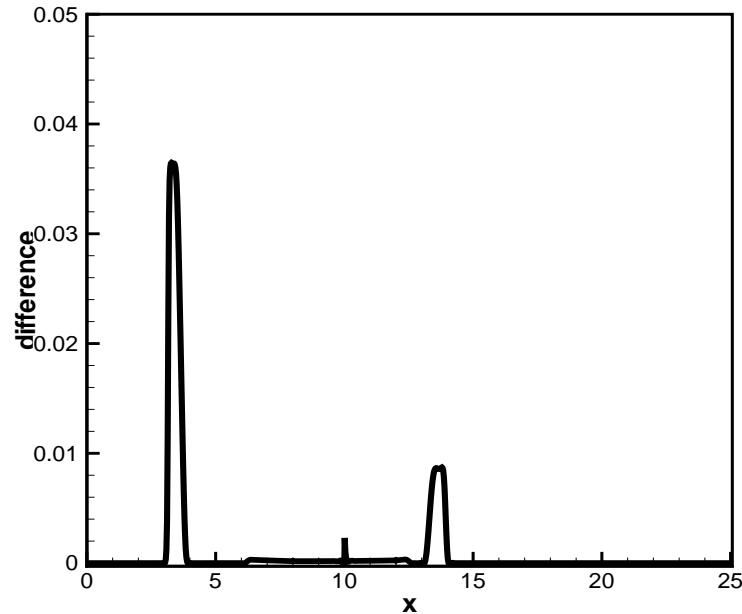
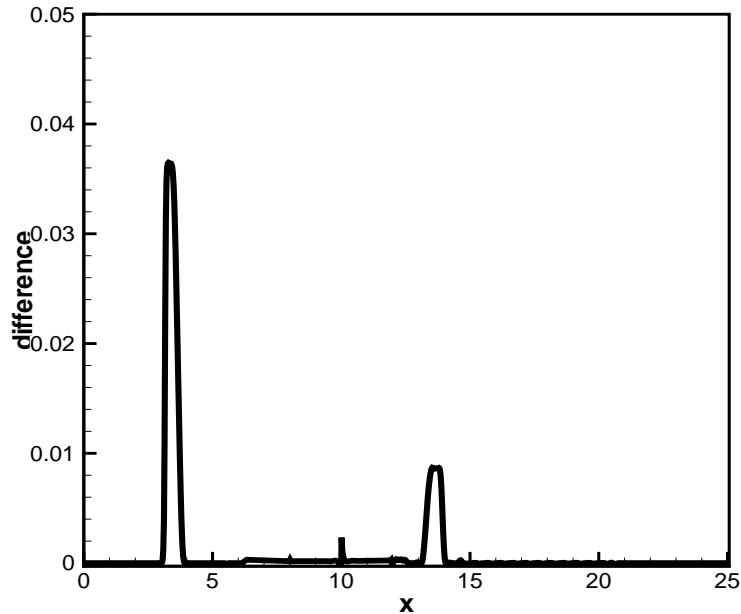
Left: **still-water w-b.**      Right: **moving-water w-b.**



$$h - h_{equil}, T = 1.5, N = 100, \varepsilon = 0.05.$$

Left: still-water w-b.

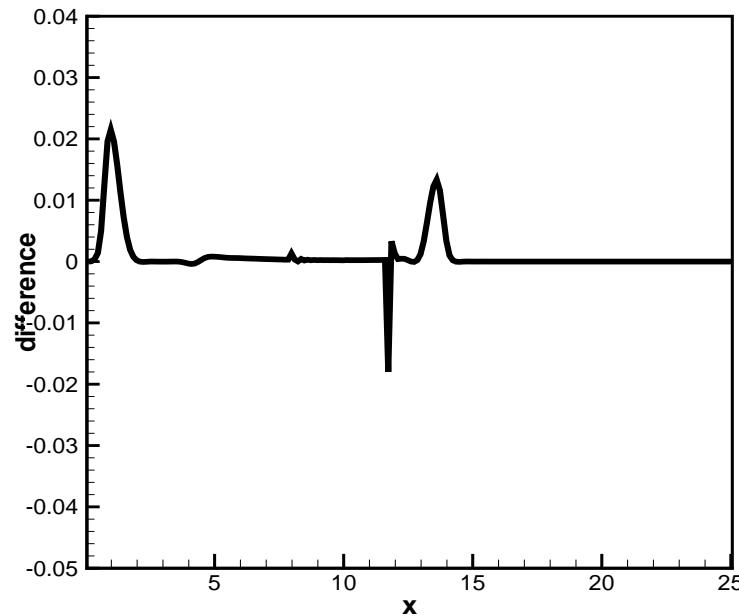
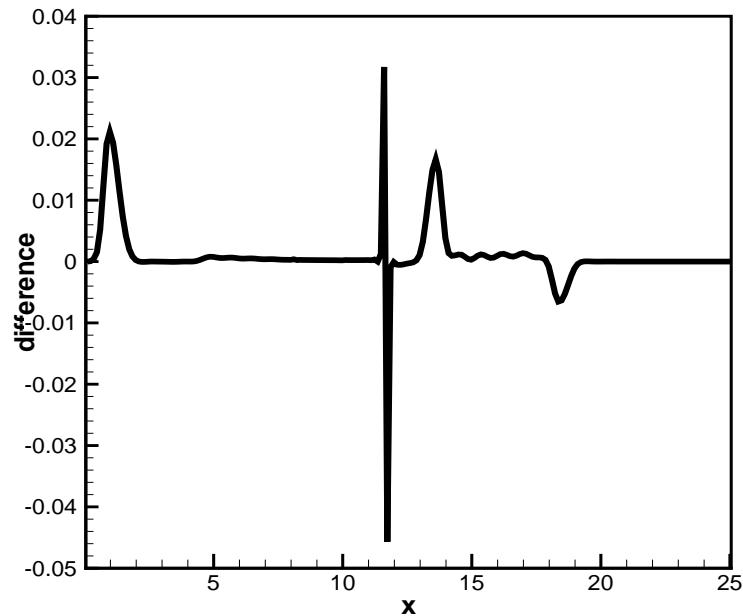
Right: moving-water w-b.



$$N = 1000, \varepsilon = 0.05.$$

Left: still-water w-b.

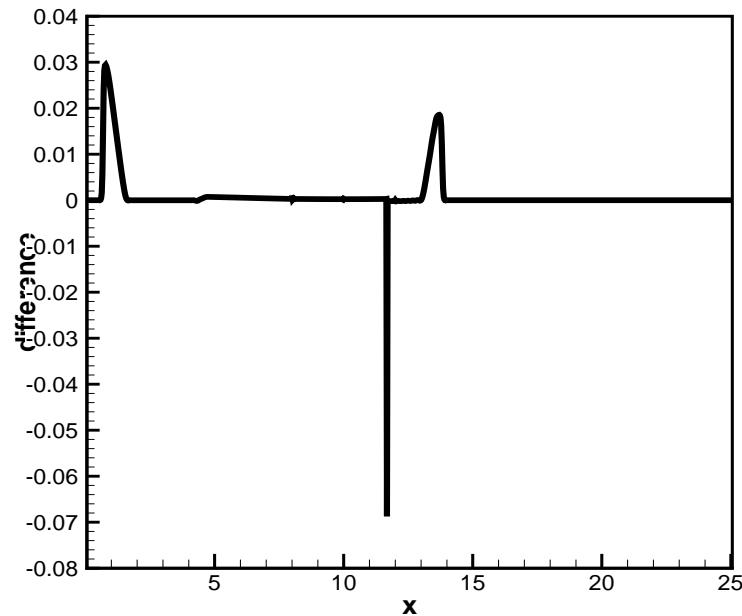
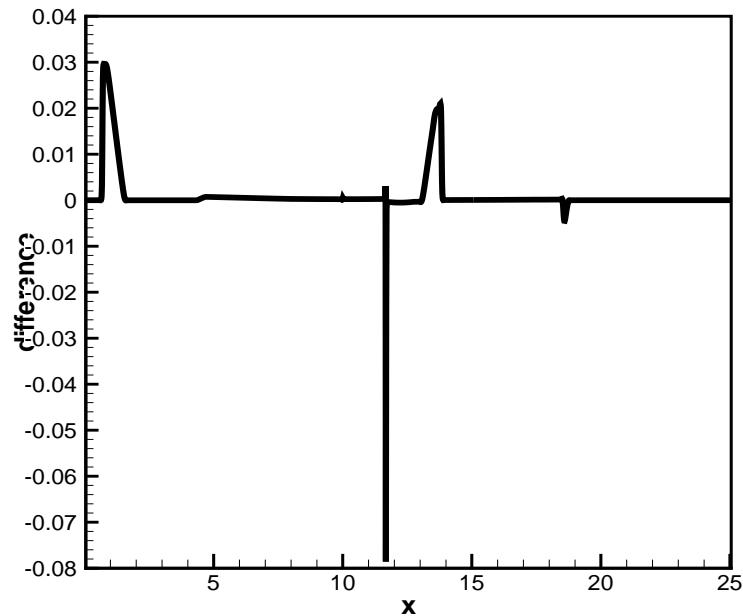
Right: moving-water w-b.



$$h - h_{equil}, T = 3, N = 200, \varepsilon = 0.05.$$

Left: still-water w-b.

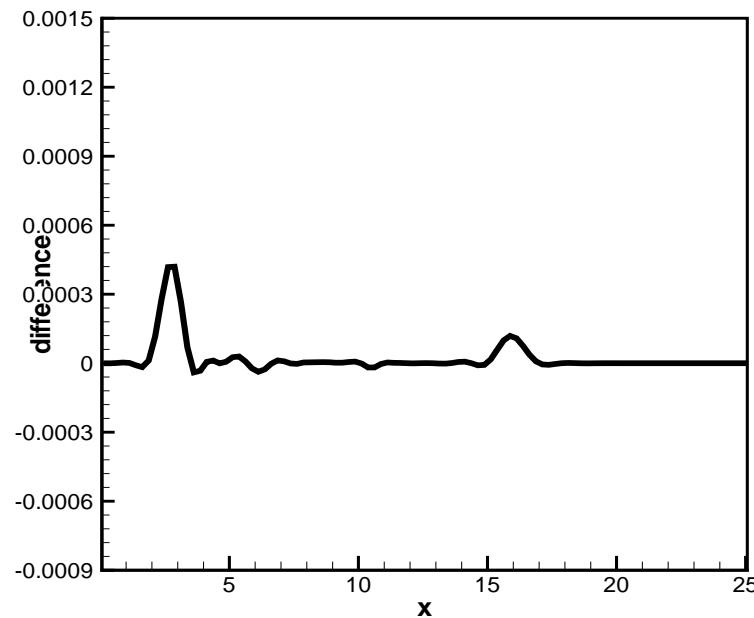
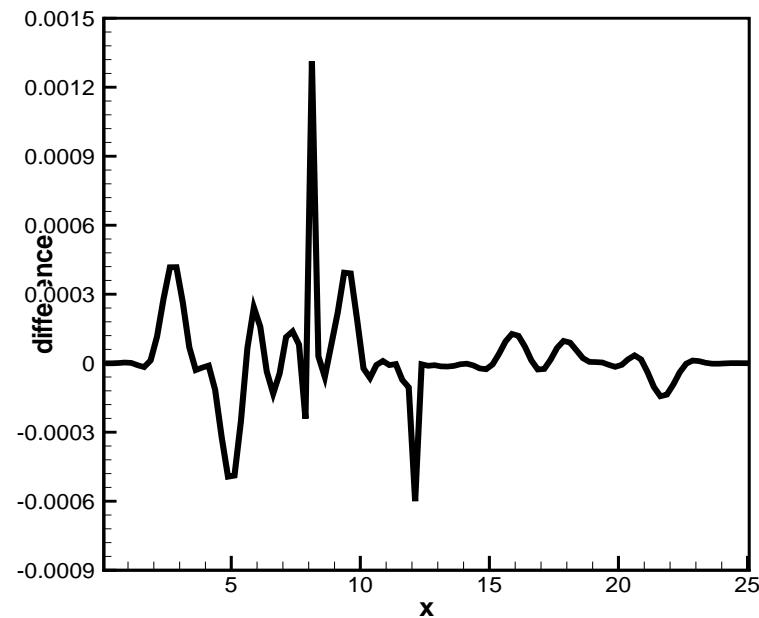
Right: moving-water w-b.



$$h - h_{equil}, T = 3, N = 1000, \varepsilon = 0.05.$$

Left: still-water w-b.

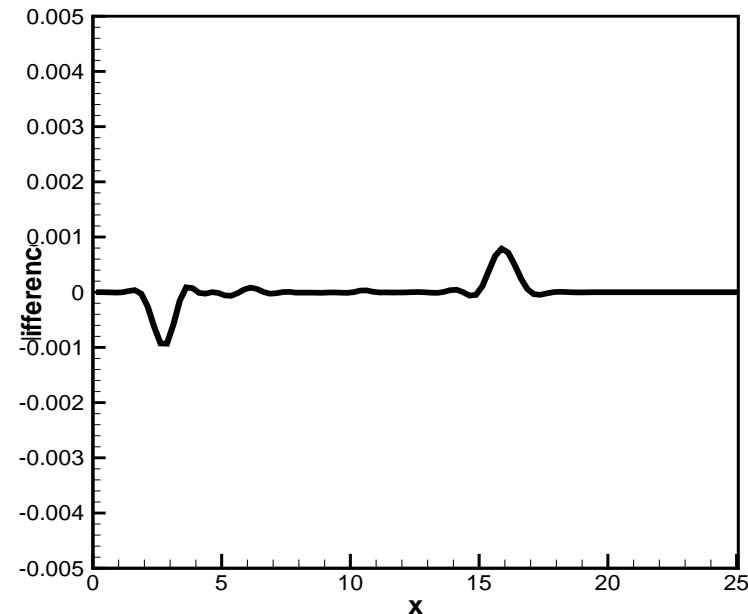
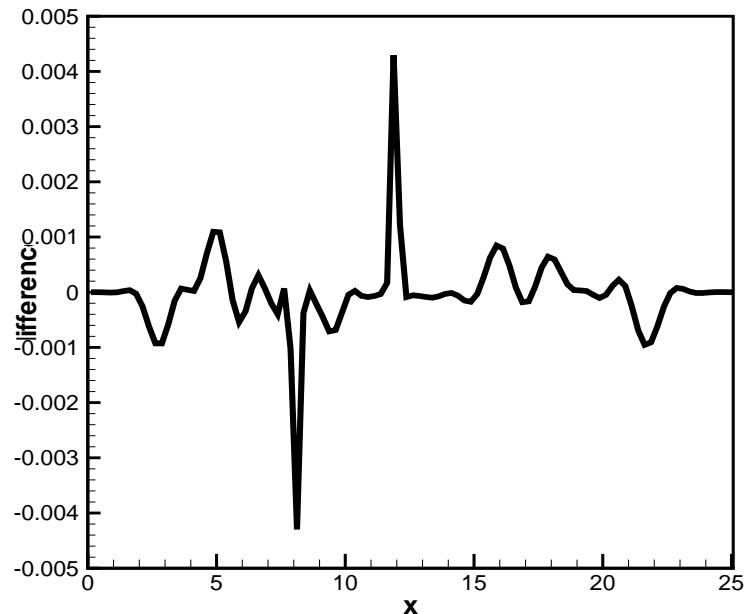
Right: moving-water w-b.



$h - h_{equil}$ ,  $T = 1.5$ ,  $N = 100$ ,  $\varepsilon = 0.001$ .

Left: still-water w-b.

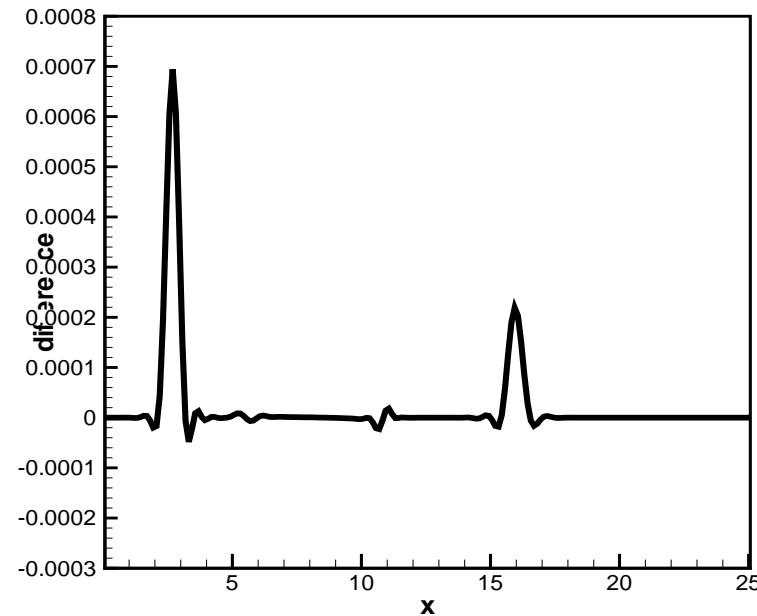
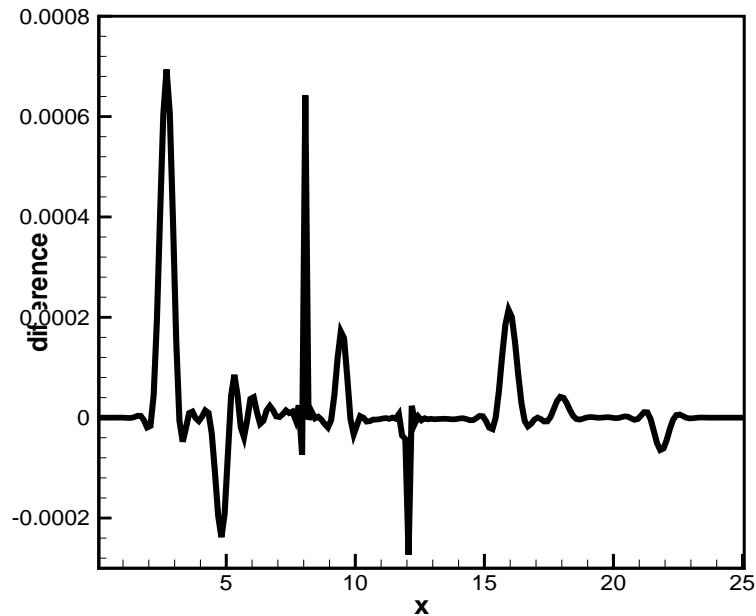
Right: moving-water w-b.



$hu - (hu)_{equil}, T = 1.5, N = 100, \varepsilon = 0.001.$

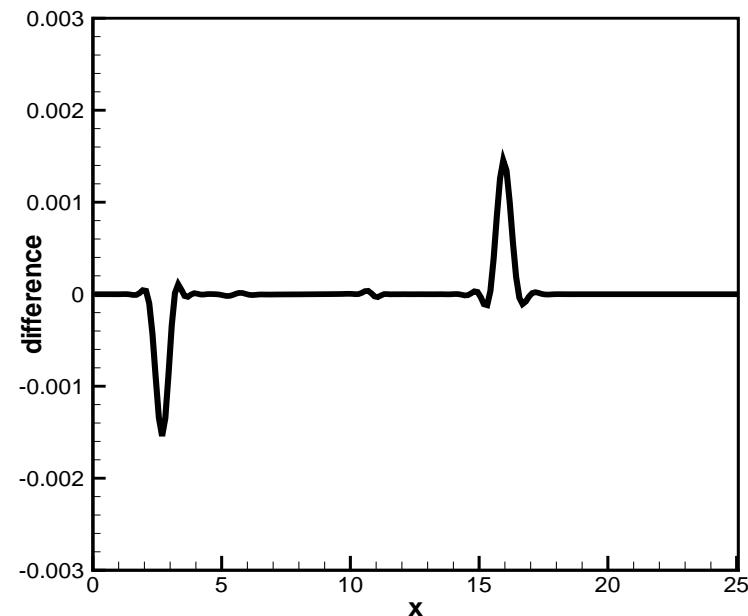
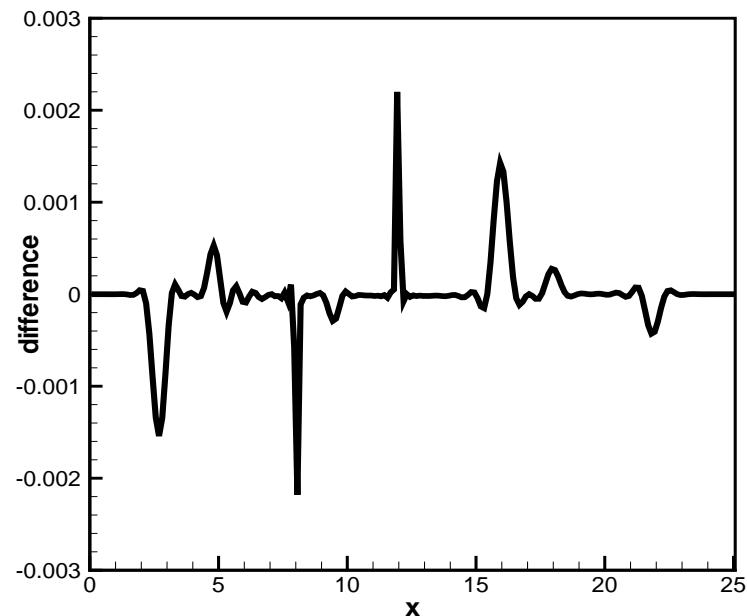
Left: still-water w-b.

Right: moving-water w-b.



$h - h_{equil}$ ,  $T = 1.5$ ,  $N = 200$ ,  $\varepsilon = 0.001$ .

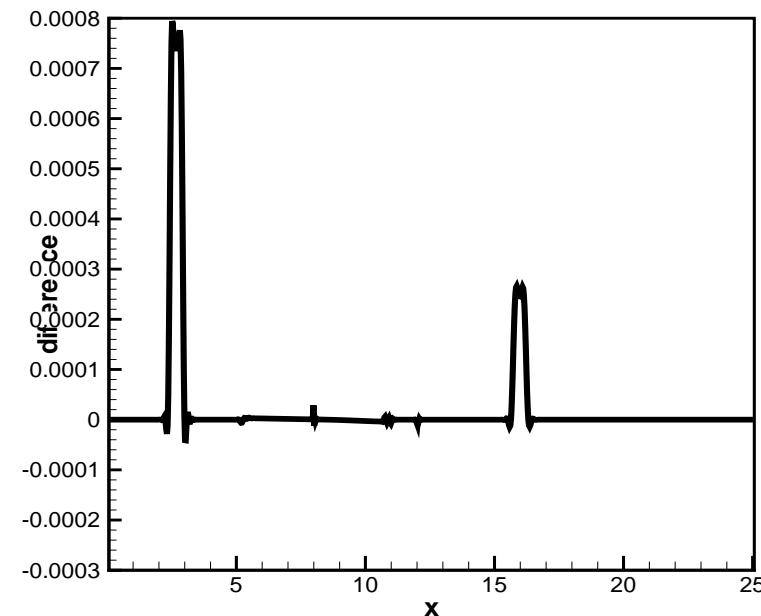
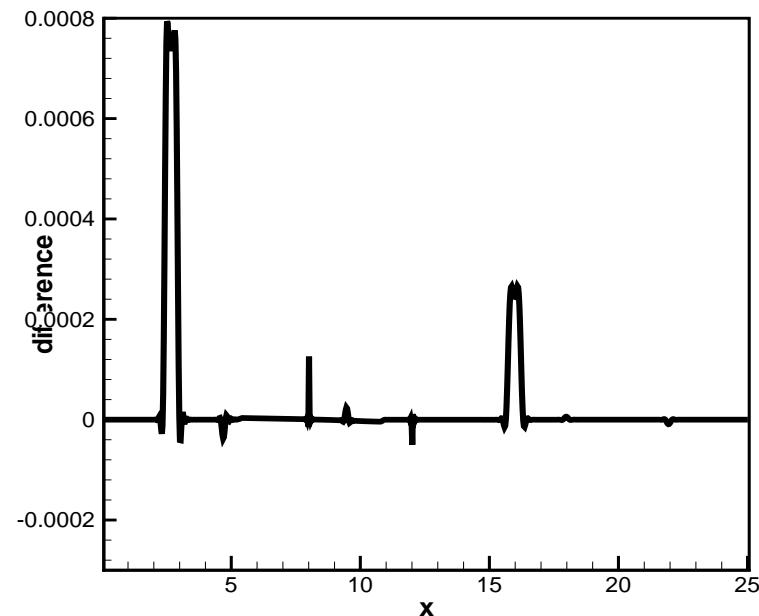
Left: still-water w-b. Right: moving-water w-b.



$hu - (hu)_{equil}$ ,  $T = 1.5$ ,  $N = 200$ ,  $\varepsilon = 0.001$ .

Left: still-water w-b.

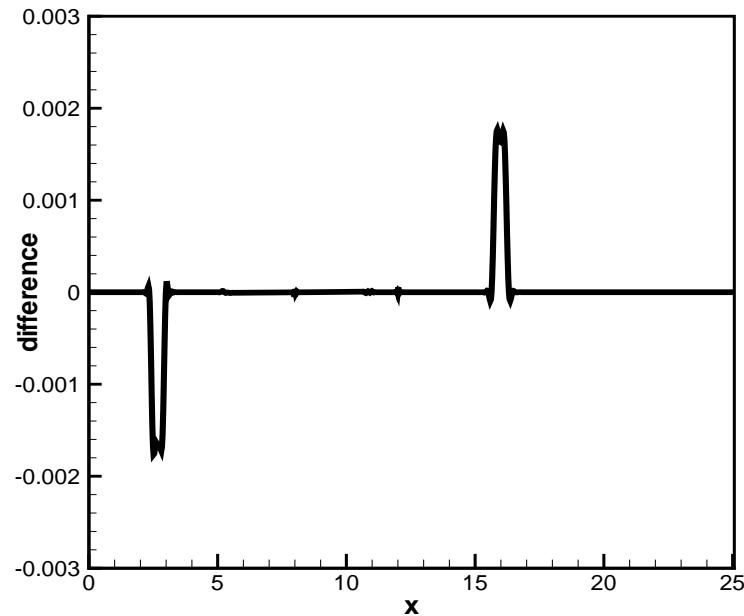
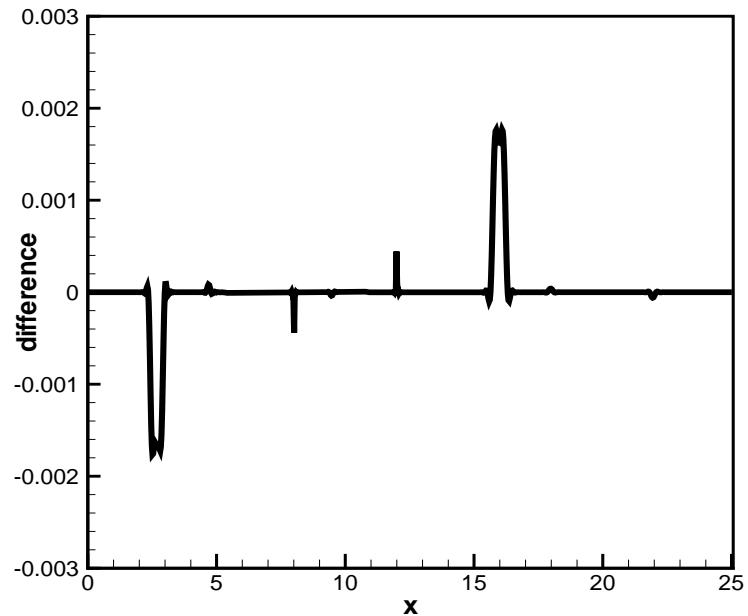
Right: moving-water w-b.



$h - h_{equil}$ ,  $T = 1.5$ ,  $N = 1000$ ,  $\varepsilon = 0.001$ .

Left: still-water w-b.

Right: moving-water w-b.

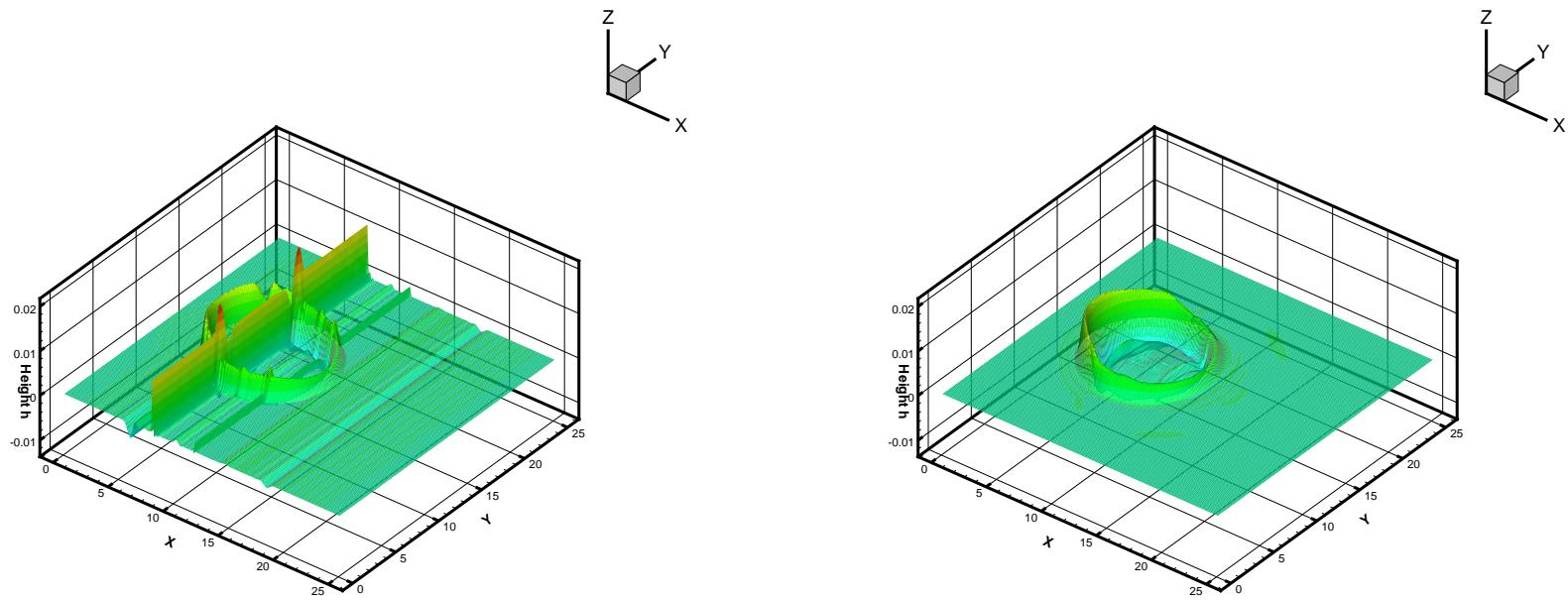


$hu - (hu)_{equil}$ ,  $T = 1.5$ ,  $N = 1000$ ,  $\varepsilon = 0.001$ .

Left: still-water w-b.

Right: moving-water w-b.

## 2D perturbation of subcritical equilibrium



3D figure,  $t = 1$ .  $200 \times 200$  points.

Left: w-b scheme for lake at rest.

Right: w-b scheme for 1D moving water.

